

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

1/22/2000 EFLORES 00000078 09715426

01 FC:201

355.00 OP

05/08/2001 BNGUYEN1 00000011 083038 09715426

01 FC:204

135.00 CH

PTO-1556  
(5/87)



11/16/00

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11-20-00

PTO/SB/05 (2/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(h))</small>		Attorney Docket No. 05871.0002.CNUS05	
		First Named Inventor or Application Identifier Thomas M. Brennan	
		Title	Method and Apparatus for Conducting an Array of Chemical Reactions on a Support Surface
		Express Mail Label No.	EL615207674US

  

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
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<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (Form PTO-1082) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 18 ] <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&amp;D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 8 ]</p> <p>4. Oath or Declaration [Total Pages 3 ] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed) [Note Box 5 below]</small> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>
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<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Two) <small>(should be specifically itemized)</small></p> <p>14. <input type="checkbox"/> *Small Entity Statement(s) <input checked="" type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input checked="" type="checkbox"/> Other: Revocation and Appointment of Power of Attorney by Assignee (Copy)</p>	<p>*NOTE FOR ITEMS 1 &amp; 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</p>

  

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/314,456/Filed May 18, 1999  
Prior Application Information: Examiner: Houtterman, S. Group/Art Unit: 1656

**18. CORRESPONDENCE ADDRESS**

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(Insert Customer No. or attach Bar Code label here)

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Signature		Date	November 16, 2000

EXPRESS MAIL NO. 615207674US

FORM PTO-1082

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Attorney Docket No. 05871.0002.CNUS05

**Box Patent Application**

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of **THOMAS M. BRENNAN** for **METHOD AND APPARATUS FOR CONDUCTING AN ARRAY OF CHEMICAL REACTIONS ON A SUPPORT SURFACE**.

Also, enclosed are:

1. Application Cover Sheet
2. Preliminary Amendment ( pages)
3. 8 sheets of Formal Drawings (Figures 1-7)
4. Copy of Continuation-in-Part Declaration and Power of Attorney
5. Revocation and Appointment of Power of Attorney by Assignee of Entire Interest (copy)
6. Information Disclosure Statement with accompany PTO-1449 (in duplicate)
7. One Reference (U.S Patent No. 5,744,305)
8. Return postcard.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 355.00	OR		\$ 710.00
TOTAL CLAIMS	15-20 =	0	x 9 =	0.00	OR	x 18 =	
INDEP. CLAIMS	2 -3 =	0	x 40 =	0.00	OR	x 80 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 135 =	0.00	OR	+ 270 =	
			TOTAL	\$355.00	OR	TOTAL	

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

A check in the amount of \$355.00 is enclosed. Also, this application is a CONTINUATION of U.S. Patent Application Serial No. 09/314,456, filed May 18, 1999; and the following:

**CONTINUING DATA:**

This application is a CONTINUATION of 08/465,761, filed June 6, 1995 (U.S. Patent No. 5,985,551)

Which is a CONTINUATION of 08/068,540, filed May 27, 1993 (U.S. Patent No. 5,474,796)

Which is a CONTINUATION-IN-PART of 07/754,614, filed September 4, 1991 (ABANDONED)

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038. A duplicate copy of this sheet is attached.

Date November 16, 2000

  
Albert P. Halluin (Reg. No. 25,227)  
Wallace Wu (Reg. No. 45,380)